

10-27-04

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:	)	Examiner: Wehbe, Anne Marie Sabrina
	)	
Roland BUELOW, et al.	)	Art Unit: 1632
	)	
Application Serial No. 09/921,819	)	Attorney's Docket No. 39691-0005A
	)	
Filed: August 3, 2001	)	Customer No. 25213
	)	
For: <b>PRODUCTION OF HUMANIZED</b>	)	
<b>ANTIBODIES IN TRANSGENIC</b>	)	
<b>ANIMALS</b>	)	

**EXPRESS MAIL LABEL NO. EL 993 691 901 US**  
**Date Mailed: OCTOBER 25, 2004**

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.97**

**MAIL STOP AMENDMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed publication and U.S. and foreign patent, except for pending U.S. applications, is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

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- ☐ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☐ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☒ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; **or**
- ☒ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$180.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge the above-referenced fees of **\$180.00** and charge any additional fees or credit any overpayment associated with this communication to Deposit Account No. **08-1641 (Attorney's Docket No. 39691-0005A)**.

Respectfully submitted,

Dated: October 25, 2004

By: Daphne Reddy  
Daphne Reddy (Reg. No. 53,507)

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<b>INFORMATION DISCLOSURE STATEMENT</b>  <b>PTO-1449</b>			<b>ATTY. DOCKET NO.:</b> 39691-0005A		<b>SERIAL NO.:</b> 09/921,819		
			<b>APPLICANT :</b> Roland BUELOW, et al.				
			<b>FILING DATE:</b> August 3, 2001		<b>GROUP:</b> 1632		
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE	
	5,202,238	04/13/1993	Fell, Jr. et al.	435	69.6		
<b>FOREIGN PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO 99/10505	03/04/1999	PCT	C12N	15/62	<input type="checkbox"/>	<input type="checkbox"/>
	WO 00/46251	08/10/2000				<input type="checkbox"/>	<input type="checkbox"/>
	WO 01/19394	03/22/2001	PCT	A61K	67/027	<input type="checkbox"/>	<input type="checkbox"/>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
	Akimenko, M.A., "Rabbit immunoglobulin b9 C kappa light chain gene", June 13, 1985.						
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EXAMINER			DATE CONSIDERED				

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

The PTO did not receive the following  
 listed items(s) \_\_\_\_\_

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OCT 25 2004

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<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>		
	<b>Kacskovics, "Bos taurus IgG1 heavy chain constant region (IgC-gamma) mRNA, partial cds", Database Accession No. S82409, December 7, 1996.</b>	
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<b>EXAMINER</b>	<b>DATE CONSIDERED</b>	

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